



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/925,944	08/09/2001		53492-5001

CONFIRMATION NO. 5806

## FORMALITIES LETTER



\*OC000000006561231\*

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1701 MARKET STREET  
PHILADELPHIA, PA 19103

Date Mailed: 09/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$786.
  - \$306 for 17 total claims over 20.
  - \$480 for 6 independent claims over 3 .
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1626.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

11/28/2001 NROCHA1 00000051 500310 09925944

02 FC:101	740.00 OP
03 FC:102	504.00 OP
04 FC:103	306.00 OP
05 FC:105	54.00 EH
	76.00 OP

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*A copy of this notice **MUST** be returned with the reply.*



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Customer Service Center

Initial Patent Examination Division (703) 308-1202

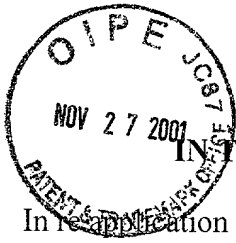
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Fraser, et. al.

Serial No.: 09/925,944

Attorney Docket No.: 053492-5001

Filed: 08/09/01

For: System and Method for Facilitating  
Electronic Commerce Transactions  
at an Automatic Teller Machine

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application Filing  
Date Granted mailed September 17, 2001, enclosed are the following:

1. Fully Executed Combined Declaration and Power of Attorney (10 pages) with specification attached (60 pages);
2. Assignment (11 pages) and Recordation Cover Sheet (1 page);
3. A copy of the Notice to File Missing Parts (Form PTO-1533) (2 pages);
4. Substitute Drawings (27 pages);
5. Check in the amount of \$1666.00; and
6. Self-addressed stamped return postcard.

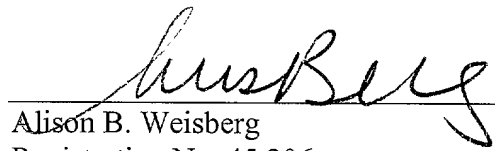
**CERTIFICATE OF MAILING (37 C.F.R. § 1.8)**

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Name Alison B. Weisberg Signature Alison B. Weisberg Date of Deposit November 14, 2001

The Commissioner is hereby authorized to charge any deficiencies or credit any overpayments in connection with this submission to Deposit Account No. 50-0310. A duplicate copy of this page is enclosed.

Respectfully submitted,

  
\_\_\_\_\_  
Alison B. Weisberg  
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Dated: November 14, 2001